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Alisha Flintroy-Mathis

Typed or Printed Name of Person Sending Paper or Fee

Alisha Flintroy-Mathis

March 26, 2007

Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of:)
A. Valbjoern, et al.) Examiner: Terry K. Cecil
)
) Confirmation No.: 3649
Title: WATER PURIFICATION DEVICE)
) Group Art Unit: 1723
Serial Number: 10/761,746)
)
Filed: January 21, 2004) (Our Docket No. 6495-0046)

Hartford, Connecticut, March 26, 2007

Mail Stop AF
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

S I R:

Applicant(s) submits herewith Form PTO/SB/1449 identifying patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

Under 37 CFR 1.97 (b)

☐ This Information Disclosure Statement is being filed within three months of the filing date of the application, or the date of entry into the national stage of an international application, or before the mailing date of a first Office Action on the merits, whichever event occurs last.

Under 37 CFR 1.97 (c)

☒ This Information Disclosure Statement is being filed *after* three months of the filing date of this national application, or the date of entry into the national stage as set forth in §1.491 in an international application, or after the mailing date of the first Office Action on the merits, whichever event occurred last, but *before* the mailing date of either a final action under §1.113 or a notice of allowance under §1.311, whichever occurs first.

☐ A certification as specified in 37 CFR 1.97(e) is set forth below or

☒ Fee as set forth in 37 CFR 1.17(p) (\$180.00).

Under 37 CFR 1.97(d)

☐ This Information Disclosure Statement is being filed *after* a final action under §1.113 or a notice of allowance under §1.311, whichever occurs first, but before, or simultaneously with, the payment of the issue fee. Applicant hereby petitions for the consideration of this Information Disclosure Statement, 37 CFR 1.97(d)(ii). A certification as specified in 37 CFR 1.97(e) is set forth below.

☐ A certification as specified in 37 CFR 1.97(e) is set forth below and

☐ Fee as set forth in 37 CFR 1.17(p) (\$180.00).

CERTIFICATION (37 CFR 1.97(e))

☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement. A copy of the relevant search report is enclosed herewith.

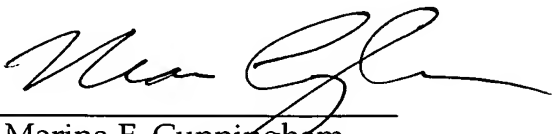
☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of this Statement.

Under 37 CFR 1.98(a)(2)(i)

☐ Because the above-referenced application was filed after June 30, 2003, pursuant to USPTO Notice dated 7/11/2003 (*"Information Disclosure Statements May Be Filed Without Copies of U.S. Patents and Published Applications filed after June 30, 2003"*) Applicant(s) do(es) not provide copies of the U.S. patents identified on the attached PTO/SB/08A form at this time. Should the Examiner require the U.S. patent copies, Applicant(s) respectfully request the Examiner contact Applicant(s)'s Representative at the number listed below.

Applicant(s) respectfully request(s) that any deficiencies in the fees be charged to Deposit Order Account No. 13-0235.

Respectfully submitted,

By 

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Sheet 1 of 1

FORM PTO-1449

INFORMATION DISCLOSURE STATEMENT BY APPLICANT(S)

Docket No.: 6495-0046

U.S. Serial No.: 10/761,746

Applicant: A. Valbjoern et al.

U.S. Filing Date: 01/21/2004

Title: Water Purification Device

Group Art Unit: 1723

U.S. PATENT DOCUMENTS

<u>Examiner's</u> <u>Initials</u>	<u>Patent</u> <u>Number</u>	<u>Patent</u> <u>Date</u>	<u>Name</u>	<u>Class</u> <u>Subclass</u>
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FOREIGN PATENT DOCUMENTS

<u>Examiner's</u> <u>Initials</u>	<u>Document</u> <u>Number</u>	<u>Date</u>	<u>Country</u>	<u>Translation</u> <u>Yes</u> <u>No</u>
_____	9-299944	11/25/1999	JP	Abstract
_____	198 18 692 C1	07/08/1999	DE	Abstract
_____	101 12 725 A1	10/02/2002	DE	Abstract
_____	43 31 102 A1	03/16/1995	DE	Abstract

Examiner's
Initials

dated 12/09/2004.

Copy of European Search Report for Serial No. EP 04 07 5046

Copy of "AUTOMATED WATER-PURIFICATION SYSTEM"
NTIS Tech Notes; U.S. Department of Commerce, Springfield, VA, US, 1 April 1989
(1989-04-01); pages 278, 1-2.

EXAMINER

DATE CONSIDERED

EXAMINER:

Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).